

THE SFI ANNUAL SURVEY IS COMPLETED ONLINE AT [WWW.SFIDATABASE.ORG](http://WWW.SFIDATABASE.ORG). THIS DOCUMENT CAPTURES SURVEY CONTENTS TO PREPARE REPORTING DATA.



**THE DEADLINE FOR SUBMITTING THE SFI ANNUAL SURVEYS IS AUGUST 31, 2022.**

The SFI Annual Survey seeks to understand the **collective impact** of SFI-certified organizations. It does not take the place of an audit, where an individual organization demonstrates how they meet standard requirements.

**SFI wants to understand any collective change over time. Your answers to new** questions will help establish baselines ahead of SFI 2022 Standards implementation to demonstrate the impact of the new Standards. **Answering 'no' to new questions is ok and expected.** Or perhaps your organization already meets new SFI Standard Requirements through your own company work, and that's great.

Individual responses will remain strictly confidential, and are only used in aggregate form.

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## **REVISED 2022 SFI ANNUAL SURVEY FOR FIBER SOURCING – FINAL DRAFT**

### **QUESTIONS FOR BOTH SFI FOREST MANAGEMENT & FIBER SOURCING CERTIFIED ORGANIZATIONS**

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### **QUESTIONS FOR SFI FIBER SOURCING CERTIFIED ORGANIZATIONS**

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**PAGE: SIGN-OFF & SUBMIT**

## 1. MY ORGANIZATION'S PROFILE

- 1.1 **Select the default area unit (acres or hectares) you will use for this survey, if applicable:** acres/hectares
- 1.2 **Select the default currency (USD or CAD) you will use for this survey:** USD/CAD
- 1.3 **Select all countries in which you sell products:** \_\_\_\_\_

### Products and Contacts

Please review the accuracy of your organization's listed products and contacts.

[VIEW & EDIT CONTACTS](#)

[VIEW & EDIT PRODUCTS](#)

### Verify/UPDATE My Organization's PROFILE DETAILS

*[FUNCTIONAL NOTE: This section allows organizations to update the following details in the SFI Database through links that bring the user directly to those pages in the SFI Database]*

**Use the "EDIT ORGANIZATION DETAILS" button to update your organization's details.**

[EDIT ORGANIZATION DETAILS](#)

### Certificates and Operations

Your certificate type(s) and locations will determine what sections of this survey are enabled/disabled. Please indicate locations outside the USA & Canada. If something in this table is incorrect, [contact SFI](#).

[UPDATES NEEDED? CONTACT SFI](#)

*[FUNCTIONAL NOTE: List of certificates from SFI Database: SFI Forest Management, Fiber Sourcing, Chain of Custody, Certified Sourcing by USA/Canada/Other.]*

## **2. FORESTRY RESEARCH, SCIENCE & TECHNOLOGY**

**FOREST MANAGEMENT OBJECTIVE 12. AND FIBER SOURCING OBJECTIVE 5.** *Forestry Research, Science and Technology. To invest in research, science, and technology, upon which sustainable forest management decisions are based.*

*FOREST MANAGEMENT PM 12.1 / FIBER SOURCING 5.1. Certified Organizations shall individually and/or through cooperative efforts involving SFI Implementation Committees, associations or other partners provide in-kind support or funding for forest research to improve sustainable management of forest resources, and the environmental benefits and performance of forest products.*

*Indicators:*

*1. Financial or in-kind support of research, collaboratives, or knowledge transfer to address key themes of relevance in the region of operations as identified by Certified Organizations, local stakeholders, communities and/or Indigenous Peoples. Examples could include, but are not limited to, the following topics:*

- a. climate change adaptation and mitigation;*
- b. water quality and quantity;*
- c. biodiversity, forests with exceptional conservation value, and species maintenance and recovery;*
- d. landscape ecology;*
- e. Indigenous traditional forest-related knowledge;*
- f. ecosystem services or non-timber forest products;*
- g. community engagement;*
- h. forest health and productivity;*
- i. support for Forest Inventory Analysis (FIA);*
- j. SFI sponsored conservation research;*
- k. the role of forests in the bioeconomy, and*
- l. or similar themes which build broader understanding of the benefits and effects of sustainable forest management or sustainable supply chains.*

### **ANNUAL SURVEY QUESTIONS (NEW & UPDATES)**

**Did your organization individually and/or through cooperative efforts involving SFI Implementation Committees, associations or other partners provide in-kind support or funding for forest research to improve sustainable management of forest resources, and the environmental benefits and performance of forest products?**

**Select at least one of the following options to report how your organization met SFI's Research requirements in 2021 (select all that apply).**

# QUESTIONS FOR BOTH SFI FOREST MANAGEMENT & FIBER SOURCING CERTIFIED ORGANIZATIONS



<b>NEW</b>	<p><b>2.1 WE MET THE SFI RESEARCH OBJECTIVE IN 2021 AT LEAST IN PART THROUGH OUR PARTICIPATION IN AN SFI GRANT</b></p> <p>Enter the following information for an additive table. <i>Multiple entries possible</i></p> <ul style="list-style-type: none"> <li>○ No</li> <li>○ Yes (select from existing list of SFI Grants) <ul style="list-style-type: none"> <li>○ In Kind Funding/External Funding &amp; amount to meet SFI Forestry Research, Science &amp; Technology Objective</li> <li>○ Select currency: USD/CAD</li> </ul> </li> </ul>
<b>Existing – with updates</b>	<p><b>2.2 WE MET THE SFI RESEARCH OBJECTIVE IN 2021 AT LEAST IN PART THROUGH DIRECT RESEARCH FUNDING</b></p> <p>Enter the following information for an additive table. <i>Multiple entries possible</i></p> <ul style="list-style-type: none"> <li>○ Key research themes alignment (select all that apply) <ul style="list-style-type: none"> <li>○ Climate change adaptation and mitigation</li> <li>○ Water quality &amp; quantity</li> <li>○ Biodiversity, Forests with Exceptional Conservation Valued, and species maintenance and recovery</li> <li>○ Landscape ecology</li> <li>○ Indigenous traditional forest-related knowledge</li> <li>○ Ecosystem services or non-timber forest products</li> <li>○ Community engagement</li> <li>○ Forest health and productivity</li> <li>○ Support for forest inventory analysis (FIA)</li> <li>○ SFI sponsored conservation research</li> <li>○ The role of forests in the bioeconomy</li> <li>○ Similar themes which build broader understanding of the benefits and effects of sustainable forest management or sustainable supply chains</li> </ul> </li> <li>○ Total funding amount by key theme <ul style="list-style-type: none"> <li>○ In Kind Internal Funding/External Funding &amp; amount</li> </ul> </li> <li>○ Partners by category and organization name <ul style="list-style-type: none"> <li>○ Academic Organizations</li> <li>○ Research Organizations</li> <li>○ Conservation Organizations</li> <li>○ Government Organizations</li> <li>○ Community Organizations</li> <li>○ Other Organizations</li> <li>○ SFI Implementation Committees</li> <li>○ Indigenous Communities</li> </ul> </li> </ul>

# QUESTIONS FOR BOTH SFI FOREST MANAGEMENT & FIBER SOURCING CERTIFIED ORGANIZATIONS



## 2.3 WE MET THE SFI RESEARCH OBJECTIVE IN 2021 THROUGH ONE OR MORE PROJECTS OR COLLABORATIONS

Enter the following information for each project. *Multiple entries possible*

PROJECT NAME

- Project Objective
- Short project description
- Key Research themes alignment (select all that apply)
  - Climate change adaptation and mitigation
  - Water quality & quantity
  - Biodiversity, Forests with Exceptional Conservation Valued, and species maintenance and recovery
  - Landscape ecology
  - Indigenous traditional forest-related knowledge
  - Ecosystem services or non-timber forest products
  - Community engagement
  - Forest health and productivity
  - Support for forest inventory analysis (FIA)
  - SFI sponsored conservation research
  - The role of forests in the bioeconomy
  - Similar themes which build broader understanding of the benefits and effects of sustainable forest management or sustainable supply chains
- Partners (category and type name)
  - Academic Organizations
  - Research Organizations
  - Conservation Organizations
  - Government Organizations
  - Community Organizations
  - Other Organizations
  - SFI Implementation Committees
  - Indigenous Communities
- Start and end year
- Still active in 2021? Y/N
- State/Province?
- Contribution
  - In Kind Funding/External Funding & amount to meet SFI Forestry Research, Science & Technology Objective

*[technical note: allow for CSV downloads of all projects and upload of same spreadsheet]*

- May SFI use this project as an example in communications, to help convey the exemplary work of SFI Program Participants in the areas of conservation, education and community engagement?
  - Share- with our organization's name
  - Share- don't use our organization's name
  - Only use in aggregate

Existing – with updates

### ANNUAL SURVEY QUESTIONS

# QUESTIONS FOR BOTH SFI FOREST MANAGEMENT & FIBER SOURCING CERTIFIED ORGANIZATIONS



<b>NEW</b>	<p><b>2.4 DOES YOUR ORGANIZATION HAVE ANY PEER-REVIEWED OR GRAY (OTHER) LITERATURE RESEARCH PAPERS ON TOPICS RELATED TO KEY SUSTAINABILITY ISSUES PUBLISHED NOW OR IN THE LAST 10 YEARS? (OPTIONAL)</b></p> <p>In order to build evidence for the value of sustainability and forest certification, SFI is collecting peer reviewed and gray (other) literature from SFI-certified organizations related to key sustainability issues.</p> <p>Please share any papers you have published in the last 10 years with knowledge gained through research to positively influence sustainable forest management. (Excepting any previously submitted to SFI).</p> <ul style="list-style-type: none"> <li>○ No, we don't have any research papers to share</li> <li>○ Yes (please upload or provide URL): _____</li> </ul> <p><i>Multiple entries possible</i></p>
<b>Existing – with significant updates</b>	<p><b>2.5 WE HAVE OTHER PROJECTS AND COLLABORATIONS WE WANT TO SHARE WITH SFI, TO HELP ILLUSTRATE THE BREADTH OF OUR WORK IN SUSTAINABILITY.</b></p> <p>Enter the following information for an additive table.</p> <ul style="list-style-type: none"> <li>○ Project name</li> <li>○ Project objective</li> <li>○ Short project description</li> <li>○ Partners (category and type name)             <ul style="list-style-type: none"> <li>○ Academic Organizations</li> <li>○ Research Organizations</li> <li>○ Conservation Organizations</li> <li>○ Government Organizations</li> <li>○ Community Organizations</li> <li>○ Other Organizations</li> <li>○ SFI Implementation Committees</li> <li>○ Indigenous Communities</li> </ul> </li> <li>○ Start and end year</li> <li>○ Still active in 2021? Y/N</li> <li>○ State/Province?</li> <li>○ Contribution             <ul style="list-style-type: none"> <li>○ In Kind Funding/External Funding &amp; amount</li> </ul> </li> </ul> <p><i>Multiple entries possible</i></p> <p><i>[technical note: CSV download of all reported projects available]</i></p>

**Example on how we will use this information:**

**RESEARCH FUNDING:**

- *Since 1995, SFI-certified companies have directly invested more than \$1.8 billion in forest research.*
- *73% of research funding is linked to conservation related objectives. (2019: 70%)*
- *Nearly 400 unique partner organizations, from Conservation, Academic, Research, Government, Community, and other sectors, worked with SFI-certified organizations in 2020 to meet the SFI Standard research requirement.*
- *\$60 MILLION in forest research expenditures were reported by SFI-certified organizations in 2020*

# QUESTIONS FOR BOTH SFI FOREST MANAGEMENT & FIBER SOURCING CERTIFIED ORGANIZATIONS



## RESEARCH, COMMUNITY, AND OTHER PROJECTS

- 600 different conservation, community, education & research projects reported by SFI-certified organizations in 2020 with 680 unique partner organizations in multiple sectors (20% Government, 20% Conservation, 14% Academic, 12% Community, 6% Research, 27% Other)
- 73% of research funding is linked to conservation-related objectives

**Why it Matters:** Forest research means healthier, more productive forests, and will lead to improved understanding, and practices.

## 3. LEGAL AND REGULATORY COMPLIANCE

### FOREST MANAGEMENT OBJECTIVE 11. AND FIBER SOURCING OBJECTIVE 4. LEGAL AND REGULATORY COMPLIANCE:

**Performance Measure 11.2 & 4.2.** SFI-certified organizations shall take appropriate steps to comply with all applicable social laws at the federal, provincial, state, and local levels in the country where the SFI-certified organization operates.

*Indicator: 1. Written policy demonstrating commitment to comply with social laws, such as those covering civil rights, equal employment opportunities, gender equality, diversity inclusion, anti-discrimination and anti-harassment measures, workers’ compensation, Indigenous Peoples’ rights, workers’ and communities’ right to know, prevailing wages, workers’ right to organize, and occupational health and safety.*

#### ANNUAL SURVEY QUESTION (NEW)

The SFI 2022 SFI Forest Management and Fiber Sourcing Standards require Certified Organizations to develop and implement a written policy demonstrating commitment to comply with social laws, such as those covering civil rights, equal employment opportunities, gender equality, diversity inclusion, anti-discrimination and anti-harassment measures, workers’ compensation, Indigenous Peoples’ rights, workers’, and communities’ right to know, prevailing wages, workers’ right to organize, and occupational health and safety.

#### 3.1 Which social laws are explicitly referenced in your written policy as per Performance Measure 11.2 (Forest Management) and 4.2 (Fiber Sourcing)?

Prior to the implementation of the SFI 2022 Standards, our organization explicitly referenced the following social laws in our written policy (check all that apply)

- Civil rights
- Equal employment opportunities
- Gender equality
- Diversity inclusion
- Anti-discrimination and anti-harassment measures
- Workers’ compensation
- Indigenous Peoples’ rights
- Workers’ and communities’ right to know
- Prevailing wages
- Workers’ right to organize
- Occupational health and safety

After the implementation of the SFI 2022 Standards our organization explicitly referenced the following social laws in our written policy (check all that apply)

- Civil rights
- Equal employment opportunities
- Gender equality
- Diversity inclusion
- Anti-discrimination and anti-harassment measures
- Workers’ compensation
- Indigenous Peoples’ rights
- Workers’ and communities’ right to know
- Prevailing wages
- Workers’ right to organize
- Occupational health and safety

## QUESTIONS FOR BOTH SFI FOREST MANAGEMENT & FIBER SOURCING CERTIFIED ORGANIZATIONS



Don't know

Don't know

**Example on how we will use this information:** *X% of SFI-certified organizations have a policy that explicitly supports gender equality/ diversity inclusion/etc.*

**Why it Matters:** Compliance with all laws ensures environmental and social values are protected in forests affected by your certification. This question will allow us to show trends over time.



## 13. BIODIVERSITY IN FIBER SOURCING

**SFI FIBER SOURCING STANDARD: Objective 1. Biodiversity in Fiber Sourcing.** To address the practice of sustainable forestry by conserving biological diversity.

**Performance Measure 1.1.** Promotion and conservation of biological diversity.

*Indicators:*

1. Certified Organizations shall address conservation of biodiversity, individually and/or through cooperative efforts involving SFI Implementation Committees, through a program that includes one or more of the following:
  - a. promotion of biological diversity concepts utilizing information from organizations such as the World Resources Institute, The Nature Conservancy, NatureServe, Conservation International, State Wildlife Action Plans, State Forest Action Plans and assessments;
  - b. conducting local and regional level landscape assessments;
  - c. involvement with local or regional conservation efforts;
  - d. use of relevant information on biological diversity from credible sources (such as those noted above) in approved training and education programs; and
  - e. other credible approaches.

**Performance Measure 1.2.** Promotion and conservation of Forests with Exceptional Conservation Value.

*Indicators:*

1. Certified Organizations shall conduct an assessment, individually and/or through cooperative efforts involving SFI Implementation Committees, of Forests with Exceptional Conservation Value, defined as critically imperiled and imperiled species and ecological communities, within their wood and fiber supply area(s) and make the summary of the assessment available to wood producers.
2. Program to address Forests with Exceptional Conservation Value (critically imperiled and imperiled species and ecological communities) for all harvest operations through fiber sourcing activities such as:
  - a. use of qualified logging professionals, certified logging companies (where available), and qualified resource professionals; or
  - b. training program for qualified logging professionals on how to recognize and protect Forests with Exceptional Conservation Value; or
  - c. through in-the-forest verification by certified logging companies; or
  - d. forest landowner outreach; or
  - e. SFI Implementation Committee involvement in the assessment of Forests with Exceptional Conservation Value, and development of recommendations for conservation.
3. Certified Organizations shall conduct and incorporate the results of a Forests with Exceptional Conservation Value (critically imperiled and imperiled species and ecological communities) assessment to promote conservation of Forests with Exceptional Conservation Value for purchased stumpage.

### 13.1 Have you conducted an assessment of Forests with Exceptional Conservation Value within your wood and fiber supply area as per Objective 1?

Prior to the implementation of the SFI 2022 FM Standard

- NO, our organization hasn't conducted an assessment of Forests with Exceptional Conservation Value within our wood and fiber supply area.
- YES, our organization has conducted an assessment of Forests with Exceptional Conservation Value within our wood and fiber supply area. Our organization is utilizing and/or sharing our assessment of FECVs in our wood and fiber supply area as follows: (*check all that apply*):
  - use of qualified logging professionals, certified logging companies (where available), and qualified resource professionals; or

# QUESTIONS FOR SFI FIBER SOURCING CERTIFIED ORGANIZATIONS



- training program for qualified logging professionals, certified logging companies (where available) and qualified resource professionals on how to recognize and protect Forests with Exceptional Conservation Value; or
- through in-the-forest verification by certified logging companies; or
- forest landowner outreach; or
- SFI Implementation Committee involvement in the assessment of Forests with Exceptional Conservation Value, and development of recommendations for conservation.
- Other (PLEASE SPECIFY)

After the implementation of the SFI 2022 FM Standard

Our organization has conducted an assessment of Forests with Exceptional Conservation Value within our wood and fiber supply area. Our organization is utilizing and/or sharing our assessment of FECVs in our wood and fiber supply area as follows: (*check all that apply*):

- use of qualified logging professionals, certified logging companies (where available), and qualified resource professionals; or
- training program for qualified logging professionals, certified logging companies (where available) and qualified resource professionals on how to recognize and protect Forests with Exceptional Conservation Value; or
- through in-the-forest verification by certified logging companies; or
- forest landowner outreach; or
- SFI Implementation Committee involvement in the assessment of Forests with Exceptional Conservation Value, and development of recommendations for conservation.
- Other (PLEASE SPECIFY)

**Example on how we will use this information:** *XX% of SFI-certified organizations have conducted an assessment of Forests with Exceptional Conservation Value within their wood and fiber supply area.*

**Why it Matters:** Conserving biological diversity protects wildlife habitat and ensures healthy forests.

## 14. USE OF QUALIFIED RESOURCE PROFESSIONALS, QUALIFIED LOGGING PROFESSIONALS

### RAW MATERIAL SUPPLY – FIBER PROCURED FROM THE USA AND CANADA

**SFI FIBER SOURCING STANDARD: Objective 3. Use of Qualified Resource Professionals, Qualified Logging Professionals and Certified Logging Companies**

To promote and utilize qualified logging professionals, qualified resource professionals and certified logging companies

**ANNUAL SURVEY QUESTION (EXISTING WITH MAJOR EDITS)**

*(Answer separately for USA or CANADA)*

**Raw material supply is fiber procured directly from the forest to your SFI manufacturing facilities.**

**14.1 Complete the following table(s) with the total volume of raw material supply you procure directly from the forest to your SFI manufacturing facilities (leave blank if not relevant).**

	Units	Volume	% Delivered by Qualified	% from SFI only	% from ATFS only	% from CSA only	Select standard combination if reporting volume
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# QUESTIONS FOR SFI FIBER SOURCING CERTIFIED ORGANIZATIONS



			Logging Professionals	certified forests	certified forests	certified forestsr	certified to more than one standard (standard combination dropdown and % certified)
Private							
Public							

**Example on how we use this information:**

**Aggregate data from these questions are used in SFI's progress report and other collateral.**

- 96% of all fiber supplied to SFI-certified mills in 2020 was delivered by trained harvesting professionals - compared to 34% in 1995.
- Enough fiber was sourced through the SFI Fiber Sourcing Standard to build 2.3 million average sized homes.
- At the core of our network are the SFI Implementation Committees that engage at the state, provincial, and regional levels to collaborate on training harvesting professionals, maintaining the integrity of the SFI standards, promoting responsible forestry, sharing knowledge with family landowners, and providing educational opportunities for youth and adults.

**Why it Matters:** Training logging professionals ensures that forest operations are held to high standards of performance, regardless of whether their land is certified.

## 15. COMMUNITY INVOLVEMENT AND LANDOWNER OUTREACH

**SFI FIBER SOURCING STANDARD Objective 7. Community Involvement and Landowner Outreach**

To broaden the practice of sustainable forestry through public outreach, education, and involvement, and to support the efforts of SFI Implementation Committees.

**ANNUAL SURVEY QUESTION (NEW)**

**15.1 Acknowledging that your organization may be coordinating through your SIC on landowner outreach, how has your organization (on its own) impacted, educated, or assisted private landowners in the last year? Check all that apply:**

- Handed out brochures
- Participated in a conference or workshop for landowners
- Partnered with state or county extension programs
- Partnered with American Tree Farm System
- Other (please explain)

**Example on how we will use this information:** *SFI-certified Organizations impacted, educated, and/or assisted private landowners last year by [examples informed by survey responses such as partnering with American tree farm, state or country extension programs, conferences or workshops, brochures, etc]*

**Why it Matters:** Outreach and education improves the public’s understanding of how important sustainable forestry is to local and global issues.

# QUESTIONS FOR SFI FIBER SOURCING CERTIFIED ORGANIZATIONS



## 16. AVOID CONTROVERSIAL SOURCES

### RAW MATERIAL SUPPLY – FIBER PROCURED FROM OUTSIDE THE USA AND CANADA

#### SFI FIBER SOURCING STANDARD Objective 11. Avoid Controversial Sources.

To manage the risk of sourcing fiber products from controversial sources.

ANNUAL SURVEY QUESTION (EXISTING)							
16.1 How much raw fiber did your organization procure from outside the USA and Canada? Enter fiber procured from outside the USA and Canada							
RAW MATERIAL SUPPLY - Country	Units	Volume	% PEFC certified forest content derived outside USA & Canada	% FSC certified forest content derived outside USA & Canada	% Dual PEFC/FSC forest content derived outside USA & Canada	% Fiber covered by your risk assessment following Fiber Sourcing Standard Objective 11	Optional comment field for additional context
country dropdown							
country dropdown							

**Example on how we will use this information:** *SFI IS LOW RISK. Less than 1% of supply procured by SFI-certified organizations in the USA and Canada comes from offshore - this information is helpful when determining risk from our supply chain and for purposes of the Lacey Act and EU Timber regulations.*

**Why it Matters:** A due diligence system minimizes the risk of sourcing from controversial sources. Whether in the United States, Canada or offshore.

## SIGN-OFF AND SUBMIT



**PLEASE USE THIS SPACE TO PROVIDE SFI WITH ANY ADDITIONAL COMMENTS**

### **SIGN OFF & SUBMIT**

- I acknowledge and certify that all of the information submitted is true for all our manufacturing facilities or lands under our ownership or management across the U.S. and Canada.

**SAVE RESULTS**

**SUBMIT RESULTS**

**PRINT REPORT (PDF)**