



## SFI SMALL LANDS GROUP CERTIFICATION MODULE

### PREAMBLE

The Sustainable Forestry Initiative (SFI) and American Forest Foundation (AFF) collaboratively developed a small lands module that is based on the foundation of the *SFI 2022 Fiber Sourcing Standard* and incorporates the AFF Standards of Sustainability for Forest Management under the American Tree Farm System (“AFF Standards”). Lands certified through this module in the United States will be certified under the American Tree Farm System (ATFS) with the resultant fiber counted as “certified forest content” under the *SFI 2022 Chain-of-Custody Standard*. Lands certified in Canada to the *SFI Small Lands Group Certification Module* will be “SFI certified” and the resultant fiber also “certified forest content” under the *SFI 2022 Chain-of-Custody Standard*.

Due to the interdependence of the *SFI 2022 Fiber Sourcing Standard*, AFF 2021 Standards, and this *SFI Small Lands Group Certification Module*, revision to any of these standards will trigger a collaborative review and potential revision to the module as needed to ensure consistency and continual improvement.

### PART 1: GENERAL

#### 1.1 SCOPE

This module applies to *Certified Organizations* certified to the *SFI 2022 Fiber Sourcing Standard*.

##### 1.1.1 Maximum size of ownership eligible for certification under this Module

A non-industrial, small forest ownership, under the scope of this *SFI Small Lands Group Certification Module*, shall have no more than 20,000 acres or 8,000 hectares in aggregate across their entire ownership, regardless of whether it is managed by the *landowner* or a *landowner* agent. Those *landowners* with more than 20,000 acres or 8,000 hectares in aggregate across their entire ownership shall be certified to the *SFI 2022 Forest Management Standard*. If the small forest or woodlot owner meets eligibility requirements, individual parcels of land that fall within the *wood and fiber supply area* are eligible for inclusion in the *certified area*.

##### 1.1.2 What the *SFI Small Lands Group Certification Module* Does

The *SFI Small Lands Group Certification Module* offers certification to a group of small family forest lands, under one certificate, that is managed by a *Certified Organization* that is certified to the *SFI 2022 Fiber Sourcing Standard*. The *SFI Small Lands Group Certification Module* allows *landowners* to sell and *Certified Organizations* to procure fiber as *certified forest content*. Lands certified to this module in the United States are certified to ATFS via the AFF Standards. Lands certified to this module in Canada are *SFI* certified.

##### 1.1.3 What the *SFI Small Lands Group Certification Module* Covers

The *SFI Small Lands Group Certification Module* covers:

- requirements of the *SFI 2022 Fiber Sourcing Standard* that include measures to broaden the practice of *conservation of biodiversity*, use of forestry *best management practices* to *protect* water quality, provide outreach to *landowners*, and utilize the services of forest management and harvesting professionals and
- forest management requirements consistent with the 2021-2026 AFF Standards to promote the health and sustainability of America’s family forests. These Standards are designed as a tool to help woodland owners be effective stewards of the land as they adaptively manage renewable resources; promote environmental, economic, and social benefits; and work to increase public understanding of *sustainable forestry*.
- additional requirements relating to the management of the *Group Certification Organization*<sup>1</sup> and additional requirements for sustainable forest management.

##### 1.1.4 Geographic Application of the *SFI Small Lands Group Certification Module*

The *SFI Small Lands Group Certification Module* applies to organizations (the *Certified Organization*, *landowners* and others involved in the fiber supply chain) in the United States and Canada.

##### 1.1.5 Timing of Applicability

The *SFI Small Lands Group Certification Module* is dependent on the *SFI 2022 Fiber Sourcing Standard* and the AFF 2021-2026 Standards of Sustainability as its foundation. Revision to either of these standards will trigger a collaborative review and potential

<sup>1</sup>The requirements for the management of the *group certification organization* are based on Appendix 2 of Section 10 of the *SFI 2022 Standards and Rules* and are consistent with AFF’s Independently Managed Group requirements

revision to the *SFI Small Lands Group Certification Module* to ensure consistency and continual improvement. If the module is not reviewed and approved by both the SFI Board of Directors and AFF Board of Trustees, it will expire with the earliest expiry of either the *SFI 2022 Fiber Sourcing Standard* or AFF's 2021-2026 Standards. If either SFI or AFF wish to make changes to the module, both organizations must agree and will work to make changes through a collaborative process.

## 1.2 REFERENCES

This *SFI Small Lands Group Certification Module* incorporates, by dated or undated reference, provisions from other publications. These normative and informative references are cited at the appropriate places in the text and the publications are listed hereafter. For dated and undated references, the latest edition of the application applies.

### 1.2.1 Normative references

- i. ISO/IEC 17021 — Conformity Assessment – Requirements for bodies providing audit and certification of management systems,
- ii. ISO/IEC Guide 2:2004 — Standardization and Related Activities – General Vocabulary
- iii. IAF MD 1:2007 - Certification of Multiple Sites Based on Sampling
- iv. *SFI 2022 Standards and Rules*:
  - Section 3 — *SFI 2022 Fiber Sourcing Standard*
  - Section 8 — *SFI Policies*
  - Section 10 — *SFI 2022 Audit Procedures and Auditor Qualification and Accreditation*
  - Section 11 — *Communication and Public Reporting*
  - Section 13 — *a Optional Modules*
  - Section 14 — *SFI Definitions*
- v. Interpretations for the Requirements for the *SFI 2022 Standards and Rules*

For the purposes of this Module, the relevant definitions given in ISO/IEC Guide 2:2004 apply together with the definitions in the *SFI Definitions* (Section 14).

### 1.2.2 Informative References

- i. 2021-2026 American Forest Foundation Standards of Sustainability for the American Tree Farm System
- ii. 2021-2026 American Forest Foundation Standards of Sustainability for the American Tree Farm System Guidance
- iii. 2021-2026 American Tree Farm System (ATFS) Independently Managed Group (IMG) Standards
- iv. American Tree Farm System (ATFS) Eligibility Requirements
- v. PEFC ST 1002:2018 — Group Forest Management Certification
- vi. PEFC ST 1003:2018 — Sustainable Forest Management – Requirements
- vii. SFI Section 7 — Guidance to *SFI 2022 Standards and Rules*
- viii. SFI Section 9 — SFI Standards Development and Interpretations Process
- ix. SFI Section 12 — Public Inquiries and Official Complaints

## 1.3 DEFINITIONS

For the purposes of this module, the relevant definitions given in ISO/IEC Guide 2:2004 apply together with the definitions in the *SFI Definitions* (Section 14) together with the following definitions.

- **certified area**  
The forest area covered by a *group forest certificate* representing the sum of forest areas owned by *landowners* that are *Group Members*. Lands certified to this module in the United States are certified to the American Tree Farm System (ATFS). Lands certified to this module in Canada are *SFI* certified.
- **group certification organization**  
A specific type of multi-site organization where forest owners, forest owners' organizations, forest managers, forest products manufacturers or forest products distributors without a pre-existing legal or contractual link can form a group for the purposes of achieving certification and gaining eligibility for a sampling approach to certification audits against this module (Appendix 1 to Section 10).
- **group forest certificate**  
A document confirming that the *group certification organization* complies with the requirements for certification to this module.
- **group manager**  
An organization with overall responsibility for ensuring the conformity of forest management in the *certified area* of the *Group Certification Organization* to the certification requirements in this module.  
The *Group Manager* must be a *Certified Organization*, *certified to the SFI 2022 Fiber Sourcing Standard*, with a fiber sourcing program that



acquires roundwood and/or field-manufactured residual chips.

- **group member**

*Landowners* who own land to be certified by the group forest certification or *landowner agents* covered by the *group forest certificate* who have the legal authority to implement the certification requirements within the *wood and fiber supply area*.

- **landowner**

Non-industrial entity or individual that holds title to the property.

- **landowner agent**

A *qualified resource professional*, a *qualified logging professional*, a *certified logging company*, *wood producer* or other individual or organization that has the legal authority to manage forestland and implement the certification requirements on certified lands at the direction of *landowner* members within the *wood and fiber supply area*.

## PART 2: SFI SMALL LANDS GROUP CERTIFICATION MODULE PRINCIPLES

*SFI Certified Organizations* believe that non-industrial forest *landowners* have an important stewardship responsibility and a commitment to society, and they recognize the importance of maintaining viable commercial, family forest and *conservation* forest land bases.

*SFI Certified Organizations* are aware that family forest ownership is made up of large numbers of small forest holdings. The limited revenues from forest management operations on the small properties of these *landowners*; periodicity of their management activities and revenues; as well as a limited financial ability to demonstrate their conformity with forest management certification system requirements, represent significant barriers to forest certification.

*SFI certification organizations* shall have a written *policy* (or *policies*) to implement and achieve the *principles* defined in *SFI 2022 Fiber Sourcing Standard* together with the following principles in this module:

### 2.1 VOLUNTARY PARTICIPATION

The *SFI Small Lands Group Certification Module* is based on a respect for property rights and on the voluntary commitment and participation of both *landowners* and *landowner agents*. Participation in the *Group Certification Organization* shall not require or bind *landowners* to harvest and sell timber or to supply the *Certified Organization*; participation also shall not prohibit *landowners* from participating in another *Group Certification Organization* outside ATFS or supplying another customer. Any supply commitments shall be stated in separately negotiated contracts between *landowners* and customers, and not as part of the written agreement(s) required by the *SFI Small Lands Group Certification Module*. *SFI* and *Certified Organizations* are committed to compliance with the competition laws of the United States and Canada, and the *SFI Small Lands Group Certification Module* shall not be construed to conflict with those laws.

### 2.2 SHARED RESPONSIBILITY

The *Certified Organization*, as the *Group Manager* and the *Group Member* have shared responsibility for and commitment to *sustainable forestry practices* on the land they own, manage or from which they procure raw material.

### 2.3 EFFICIENCY

The *SFI Small Lands Group Certification Module* uses a *wood and fiber supply area* (“supply area”) approach to achieving sustainable forest management (e.g., planning or monitoring of forest resources) where the supply area approach is more suitable and efficient due to the limited size and resources of individual *landowners*. The results of the *wood and fiber supply area* approach must be consistent with and inclusive of the forest management *practices* implemented by individual *landowners* and/or *resource/logging professionals*. Lands certified to this module in the United States are certified to ATFS. Lands certified to this module in Canada are SFI certified.

## PART 3: REQUIREMENTS FOR MANAGEMENT OF THE GROUP

### 3.1 RESPONSIBILITIES OF THE GROUP MANAGER<sup>2</sup>:

- 3.1.1 To ensure a commitment on behalf of the whole *Group Certification Organization* to establish and maintain *practices* and procedures in accordance with the requirements of this *SFI Small Lands Group Certification Module*.
- 3.1.2 To represent the whole *Group Certification Organization* in the certification process, including communications and relationships with the certification body, submission of an application for certification under this module and contractual relationship with the *certification body*.

<sup>2</sup>The requirements for the management of the group are based on eligibility criteria of chapter 4.1.1-4.1.4 of Appendix 1 and Appendix 2 to Section 10. In cases where the requirements of this document differ from Appendix 1 to Section 10, the requirements of this document are definitive.

- 3.1.3** To form a formal relationship with all *Group Members* based on a written agreement established directly between the *Group Manager* and each *Group Member* (every *landowner* and every *landowner agent*). The written agreement shall include<sup>3</sup>:
- The *Group Manager's* enumeration of the conditions of participation in the group and *group forest certificate*, including disclosure of *Group Members' ineligibility* to participate in an ATFS State program or IMG;
  - The *Group Manager's* right and responsibility to implement and enforce any corrective or preventive measures, and to initiate the suspension of any *Group Member* from the scope of certification in the event of nonconformity with the requirements of the *SFI Small Lands Group Certification Module*.
  - The *Group Member's* commitment to comply with the requirements of the *SFI Small Lands Group Certification Module*;
  - The *Group Member's* agreement to participate and comply with the conditions of membership and forest management operations as recommended in the *wood and fiber supply area* plan (or their own conforming forest management, where applicable);
  - The *Group Member's* agreement to be included under the scope of the *Group Manager's group forest certificate*.
- 3.1.4** To establish procedures for inclusion of new *Group Members* within the *Group Certification Organization* including an internal assessment of conformity with the *SFI Small Lands Group Certification Module*, implementation of corrective and relevant preventive measures.
- 3.1.5** To establish procedures for expulsion of *Group Members* from the *group forest certificate*, in cases of unresolved nonconformity, ownership transition or other circumstances, as appropriate, including documentation and timely reporting of decertification.
- 3.1.6** To maintain and achieve compliance with all requirements of the *SFI 2022 SFI Fiber Sourcing Standard* that outline relationship between the *Certified Organization*, *landowners*, and resource/logging professionals; and management review and continuous improvement (Objectives 1, 2, 3, 6, 7, 10 and 11).
- 3.1.7** To establish written procedures for the management of the *Group Certification Organization* and clearly define and assign responsibilities for sustainable forest management and compliance with the certification requirements of this module.
- 3.1.8** To provide all *Group Members* with information and guidance needed for effective implementation and maintenance of *practices* and procedures in accordance with the requirements of this *SFI Small Lands Group Certification Module*<sup>4</sup>.
- 3.1.9** To ensure all *Group Managers* and *Landowner Agents* have been trained to implement the requirements of the module specific to AFF's Standards of Sustainability for Certification and section 4.3 Forest Management Practices. *Group Managers* and *Landowner Agents* shall attend an ATFS inspector training at least once during every Standards eligibility period. Training in accordance with 4.3 Forest Management Practices may be accomplished through *SFI Implementation Committees* or other means.
- 3.1.10** To keep records on:
- a. the *Certified Organizations'* and the *Group Members'* conformity with the relevant certification requirements as spelled out by this Module.
  - b. all *Group Members*, including their contact details and, for *landowner* members, identification of their property and its/their sizes.
  - c. the *certified area*.
  - d. a record of operations carried out on the forest lands owned by the *Group Members* to support the internal monitoring program.
  - e. the implementation of an internal monitoring program, its review and any preventive and/or corrective measures.
  - f. the *wood and fiber supply area* plan as defined in 4.1, and the individualized goals and strategies for *landowners*, and/or the individual *landowner* management plan as defined in 4.2, as applicable.
  - g. In the US, *Group Managers* share records of items A, B, C and E at least annually with AFF for documentation in the ATFS database to enable verification of the certified status of the *certified area*. In Canada, *Group Managers* share records of items A, B, C and E at least annually with *SFI*.
- 3.1.11** To maintain an annual internal audit or monitoring program sufficient to ensure conformance with the requirements of the *SFI Small Lands Group Certification Module*<sup>5</sup> by the organization and individual *Group Members*. The *Group Certification Organization* must

<sup>3</sup>While the written agreement is established directly between the *Group Manager* and each *Group Member*, trained *landowner agents* may facilitate and transmit written agreements between *Group Manager* and *landowner* members.

<sup>4</sup>The *Group Manager* should within the framework of Objective 3 (Use of Qualified Resource Professionals, Qualified Logging Professional and Certified Logging Companies), Objective 6 (Training and Education) and Objective 7 (Community Involvement and Landowner Outreach) of the *SFI 2022 Fiber Sourcing Standard* provide *Group Members* with information and guidance on the requirements of the *SFI Small Lands Group Certification Module* that are relevant to them and management operations on their land.

<sup>5</sup>The internal audit/monitoring program should accommodate various verification and monitoring mechanisms required by the *SFI 2022 Fiber Sourcing Standard*.

implement an annual monitoring protocol in accordance with the following:

- i. The sample size should be calculated based on the number of *Group Members*.
- ii. The size of the sample should generally be the square root of the number of *Group Members*, rounded up to the upper whole number.
- iii. Twenty-five percent of the sample should be selected at random.
- iv. The size of the sample may be adapted up or down by a standard taking into account the following:
  - results of a risk assessment
  - results of internal monitoring or independent audits
  - quality/level of confidence of internal annual monitoring
  - use of technologies allowing gathering of information for specific requirements
  - based on other means of gathering information about activities on the ground

**3.1.12** To operate a review of the conformity of *Group Members* based on results of internal audit and/or monitoring data sufficient to assess performance of the *Group Certification Organization* as a whole rather than at the individual *Group Member's* level<sup>6</sup>.

**3.1.13** To establish corrective and preventive measures if required and evaluate the effectiveness of corrective actions taken.

**3.1.14** To communicate to the relevant *Certification Body* those *Group Members* with serious and continuing non-conformities that have not been resolved and that resulted in the *Group Member's* expulsion from the *group certification organization* based on the results of internal audits and/or the monitoring program.

**3.1.15** To consider within its internal audit/monitoring program information from the *Group Member* on continuing non-conformities that have been found if the *Group Member* is part of another *group certification organization*.

**3.1.16** For landowners in the United States, to maintain and update the members of the group organization and report to AFF or update the ATFS database to reflect entries and departures of *Group Members* from the *Group Organization*.

## 3.2 RESPONSIBILITIES OF THE GROUP MEMBERS:

**3.2.1** To commit through a written agreement with the *Group Manager* to implement and maintain the relevant requirements<sup>7</sup> of the *SFI Small Lands Group Certification Module*;

**3.2.2** To respond effectively to all requests from the *Group Manager* or a *certification body* for relevant data, documentation or other information whether in connection with formal audits or reviews or other requirements;

**3.2.3** To provide full co-operation and assistance in respect of the satisfactory completion of audits, reviews, monitoring, relevant routine inquiries or corrective actions;

**3.2.4** To implement relevant corrective and preventive actions required by the *Group Manager*; and

**3.2.5** To inform the *Group Manager* of any continuing non-conformities if the *Group Member* is part of another *group certification organization*.

## PART 4: REQUIREMENTS FOR SUSTAINABLE FOREST MANAGEMENT

The *Group Manager* shall ensure compliance of the *Group Certification Organization* with the requirements outlined in *SFI 2022 Fiber Sourcing Standard*<sup>8</sup> and the following additional requirements outlined in 4.1 the *Wood and Fiber Supply Area Plan* and 4.3 *Forest Management Practices on Certified Area*.

### 4.1 WOOD AND FIBER SUPPLY AREA PLAN

The *Group Manager* shall develop and update a *wood and fiber supply area plan* that adequately covers the fiber procurement area by the *Group Certification Organization*. The plan shall:

<sup>6</sup> The review of the conformity and the corrective and preventive measures should be performed within the framework of Objective 10 of the *SFI 2022 Fiber Sourcing Standard* (Management Review and Continuous Improvement).

<sup>7</sup> The *Group Manager* should within the framework of Objective 3 (Use of Qualified Resource Professionals, Qualified Logging Professional and Certified Logging Companies), Objective 6 (Training and Education) and Objective 7 (Community Involvement and Landowner Outreach) of the *SFI 2022 Fiber Sourcing Standard* provide *Group Members* with a specific list and guidance on the requirements of the *SFI Small Lands Group Certification Module* that are relevant to them and management operations on their land.

<sup>8</sup> This list and guidance also includes Interpretations for the Requirements for the *SFI 2022 Standards and Rules* relevant to the *SFI 2022 Fiber Sourcing Standard* or to the additional requirements.



- 4.1.1 Be based on a *long-term* resource analysis including periodic or ongoing *forest inventory*, mapping and monitoring of forest resources; and include or be based on analysis of social, environmental and economic impacts of forest operations on forest resources;
- 4.1.2 Promote the maintenance and enhancement of the quantity of forest resources. Promote *afforestation* of agricultural and treeless land into forests. Identify risks of conversion of forests to non-forest uses and measures to mitigate this risk.
- 4.1.3 Be consistent with applicable legislation and land-use plans;
- 4.1.4 Include a description of forest resources, their different uses and functions, and objectives for their management;
- 4.1.5 Include analysis and determination of *long-term* sustainable harvest levels by monitoring *growth and drain* trends across the *wood and fiber supply area*. The monitoring system shall identify trends and develop forest management activities, on the *certified area*, to promote sustainable harvesting levels on the *wood and fiber supply area*.
- 4.1.6 Include a review of non-timber activities and opportunities (e.g., *conservation* of soil, air and water quality, carbon, *biological diversity*, *wildlife* and *aquatic habitats*, recreation and aesthetics); and sustainable management and use of those non-timber forest products that are affected by forest management *practices*;
- 4.1.7 Review results of monitoring of harmful agents, such as environmentally or economically undesirable *wildfire*, pests, diseases, invasive exotic plants and animals and list or provide information on measures to protect forests from those agents to maintain and improve *long-term forest health*, *productivity* and economic viability;
- 4.1.8 Include identification and mapping of sensitive sites and areas with high *biodiversity* values on the *certified area* and the *wood and fiber supply area*;
- 4.1.9 Include identification and mapping of areas with specific water and soil *protection* functions, including steps to ensure their *protection*, maintenance and enhancement of their protective functions;
- 4.1.10 Include description of appropriate silviculture and regeneration methods to achieve forest management objectives identified in 4.1 and 4.3;
- 4.1.11 Be made available to the relevant *landowners* and/or *landowner agents* and provide the basis for the *Certified Organization's* verification, internal audit or monitoring program<sup>9</sup>;
- 4.1.12 Be made publicly available, except confidential business and personal information and other information made confidential by legislation or for the *protection* of cultural sites or sensitive natural resources; and
- 4.1.13 Identify *landowner* goals and strategies and silviculture for achieving those goals to be applied to each individual *landowner* appropriate to the size, scale and intensity of small lands certified via the module.

## 4.2. GROUP MEMBER'S MANAGEMENT PLAN

In the event that a *landowner*, or *landowner agent*, opts to have and implement a written forest management plan for an individual group member, it shall be consistent with the size of the ownership and the scale and intensity of the forest activities.

- 4.2.1 Management plan shall be active, adaptive and embody the *landowner's* current objectives, remain appropriate for the land certified and reflect the current state of knowledge about natural resources and sustainable forest management.
- 4.2.2 Management plan shall:
  - a. Describe current forest conditions, *landowner's* objectives, management activities aimed at achieving *landowner's* objectives, document a feasible strategy for activity implementation and include a map accurately depicting significant forest-related resources.

<sup>9</sup>The Objective 3 (Use of Qualified Resource Professionals, Qualified Logging Professional and Certified Logging Companies), Objective 6 (Training and Education) and Objective 7 (Community Involvement and Landowner Outreach) of the *SFI 2022Fiber Sourcing Standard* provides a framework for communicating the content of the forest management plan or its relevant parts to *landowners*, resource and logging professionals and for its on-the ground implementation.

- b. Demonstrate consideration of the following resource elements: *forest health*, soil, water, wood and fiber production, *threatened or endangered species*, *special sites*, *invasive species* and Forests of Recognized Importance<sup>10</sup> (in the United States) or *Forests with Exceptional Conservation Value* (in Canada). Where present and relevant to the property, the plan shall describe management activities related to these resource elements.
- c. Where present, relevant to the property and consistent with *landowner's* objectives, the plan preparer should consider, describe and evaluate the following resource elements: fire, *wetlands*, desired species, recreation, forest aesthetics, biomass and carbon.

**4.2.3** The *Landowner* or *landowner agent* should monitor for changes that could interfere with the management objectives as stated in management plan. When problems are found, reasonable actions are taken.

## 4.3 FOREST MANAGEMENT PRACTICES ON CERTIFIED AREA

The *Group Manager* shall ensure through a *verifiable monitoring system* that:

- 4.3.1** *Landowner* shall comply with all relevant federal, state, provincial, county, and municipal laws, regulations and ordinances governing forest management activities.
  - 4.3.1.1 *Landowner* shall comply with all relevant laws, regulations and ordinances and will correct conditions that led to adverse regulatory actions, if any.
  - 4.3.1.2 *Landowner* should obtain advice from appropriate qualified natural resource professionals or qualified contractors who are trained in, and familiar with, relevant laws, regulations, and ordinances.
- 4.3.2** *Reforestation or afforestation* shall be achieved by a suitable process that ensures adequate stocking levels.
  - 4.3.2.1 *Reforestation or afforestation* shall achieve adequate stocking of desired species reflecting the *landowner's* objectives, within five years after regeneration harvest, or an appropriate time frame for local conditions, or within a time interval as specified by applicable regulation.
- 4.3.3** *Landowner* shall meet or exceed *practices* prescribed by state or provincial forestry *best management practices* that are applicable to the property.
  - 4.3.3.1 *Landowner* shall implement specific state or provincial forestry *best management practices* that are applicable to the property.
  - 4.3.3.2 *Landowner* shall minimize road construction and other disturbances within riparian zones and *wetlands*.
- 4.3.4** *Landowner* shall consider a range of forest management activities to control pests, pathogens, and unwanted vegetation.
  - 4.3.4.1 *Landowner* should evaluate alternatives to pesticides for the prevention or control of pests, pathogens, and unwanted vegetation to achieve specific management objectives.
  - 4.3.4.2 Pesticides used shall be approved by the Environmental Protection Agency (EPA) in the United States or the Pest Management Regulatory Agency (PMRA) of Health Canada, and applied, stored, and disposed of in accordance with EPA or PMRA approved labels and by persons appropriately trained, licensed, and supervised.
- 4.3.5** When used, prescribed fire shall conform with *landowner's* objectives and all applicable rules, laws, and regulations.
- 4.3.6** Prescribed burns shall conform with the *landowner's* objectives and state and *local* laws and regulations. Forest management activities shall *protect habitats* and communities occupied by *threatened or endangered species* as required by law.
  - 4.3.6.1 *Landowner* shall confer with natural resource agencies, state or provincial natural resource heritage programs (i.e., NatureServe databases), qualified natural resource professionals or other current sources of information to determine occurrences of *threatened or endangered species* on the property and their habitat requirements.
  - 4.3.6.2 Forest management activities shall incorporate measures to *protect* identified *threatened or endangered species* on the property.
- 4.3.7** *Landowner* should address the desired species and/or desired forest communities when conducting forest management activities, if consistent with *landowner's* objectives.
  - 4.3.7.1 *Landowner* should consult available and accessible information on management of the forest for desired species and/or forest communities and integrate it into forest management.

<sup>10</sup>Globally, regionally and nationally significant large forest landscape areas of exceptional ecological, social, cultural or biological values. These forests are evaluated at the landscape level, rather than the stand level, and are recognized for a combination of unique values, rather than a single attribute.

- 4.3.8** *Landowner* should make practical efforts to promote *forest health*.
- 4.3.8.1 *Landowner* should make practical efforts to promote *forest health*, including prevention, control or response to disturbances such as wildland fire, *invasive species* and other pests, pathogens or unwanted vegetation, to achieve specific management objectives.
- 4.3.9** Where present, forest management activities should maintain or enhance Forests of Recognized Importance (in the United States) or *Forests with Exceptional Conservation Value* (in Canada).
- 4.3.9.1 Appropriate to the scale and intensity of the situation, forest management activities should incorporate measures to contribute to the conservation of identified Forests of Recognized Importance (in the United States) or *Forests with Exceptional Conservation Value* (in Canada).
- 4.3.10** *Landowner* should manage the visual impacts of forest management activities consistent with the size of the forest, the scale and intensity of forest management activities and the location of the property.
- 4.3.10.1 Forest management activities should apply visual quality measures compatible with appropriate silvicultural practices.
- 4.3.11** Forest management activities shall consider and maintain any *special sites* relevant on the property.
- 4.3.11.1 *Landowner* shall make a reasonable effort to locate and protect *special sites* appropriate for the size of the forest and the scale and intensity of forest management activities.
- 4.3.12** *Landowner* should use qualified natural resource professionals and qualified contractors when contracting for services.
- 4.3.12.1 *Landowner* should seek qualified natural resource professionals and qualified contractors.
- 4.3.12.2 *Landowner* should engage qualified contractors who carry appropriate insurance and comply with appropriate federal, state, provincial and *local* safety and fair labor rules, regulations, and standard *practices*.
- 4.3.12.3 *Landowners* should retain appropriate contracts or records for forest product harvests and other management activities to demonstrate conformance to the standards.
- 4.3.12.4 *Landowner* or designated representative shall monitor forest product harvests and other management activities to ensure they conform to their objectives. Harvest, utilization, removal, and other management activities shall be conducted in compliance with the *landowner's* objectives and to maintain the potential of the property to produce forest products and other benefits sustainably.

## SFI SMALL-SCALE FOREST MANAGEMENT MODULE FOR INDIGENOUS PEOPLES AND FAMILIES

### PART 1: GENERAL

#### 1.1 SCOPE

The *SFI Small-Scale Forest Management Module for Indigenous Peoples and Families* (the module) applies to individual *small-scale forest properties* or *forest licenses* and to groups of small-scale forests co-operating for the purposes of obtaining *sustainable forest management* certification.

The module offers the managers of small-scale public forests, including those within the jurisdiction of Indigenous governments, and owners of small-scale private forests the opportunity to participate individually or within a *group certification organization*, to benefit from the economies of scale afforded by working with a group of small-scale forest owners and managers of forest licenses.

#### 1.2 ELIGIBILITY FOR CERTIFICATION UNDER THE SFI SMALL-SCALE FOREST MANAGEMENT MODULE FOR INDIGENOUS PEOPLES AND FAMILIES

Any *small-scale forest property* or *forest license* managed or owned by Indigenous peoples, families, communities, or joint ventures where the total area in timber production does not exceed 20,000 hectares is eligible for certification to the module. The total area certified to the module may exceed 20,000 hectares when the non-timber producing areas and areas managed for *conservation* or recreation purposes are included.

*Small-scale forest properties* and *forest licenses* between 5,000 and 20,000 hectares must be under the management of a *qualified resource professional* or an individual that meets the legal requirements for planning and supervising forest management practices for the jurisdiction.

*Small-scale forest properties* and *forest license* include but are not limited to:

- Small forest properties or woodlots;
- British Columbia Woodlot Licences;